UNM’s Optical Science and Engineering Program

Administered jointly by P&A and ECE
Established in 1983

One of five universities in the US with PhD and MS degrees in Optics
# Affiliated Faculty (33)

[http://www.optics.unm.edu/faculty.html](http://www.optics.unm.edu/faculty.html)

<table>
<thead>
<tr>
<th>P&amp;A</th>
<th>ECE</th>
<th>Chemistry</th>
<th>Biomedical Eng.</th>
</tr>
</thead>
<tbody>
<tr>
<td>C. Caves</td>
<td>S. Brueck</td>
<td>T. Habteyes</td>
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<tr>
<td>I. H. Deutsch</td>
<td>C. Christodoulou</td>
<td>J. Grey</td>
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<tr>
<td>J.-C. Diels</td>
<td>R. Dawson*</td>
<td></td>
<td>A. Shreve</td>
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<tr>
<td>F. Bacerra-Chavez</td>
<td>P. Eliseev*</td>
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<tr>
<td>V. M. Kenkre</td>
<td>M. Hayat</td>
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<tr>
<td>K. Malloy</td>
<td>R. Jain</td>
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<tr>
<td>S. Prasad</td>
<td>S. Krishna</td>
<td></td>
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<tr>
<td>W. Rudolph</td>
<td>M. Osinski</td>
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<tr>
<td>M. Sheik-Bahae</td>
<td>M. Hossein-Zadeh</td>
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<tr>
<td>J. Thomas</td>
<td>G. Balakrishnan</td>
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<tr>
<td>A. Mafi</td>
<td>L. Arissian*</td>
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<tr>
<td>B. V. Acosta</td>
<td>O. Lavrora</td>
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<tr>
<td>C. J. Matthews</td>
<td>D. Feezell</td>
<td></td>
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<tr>
<td>A. Manjavacas</td>
<td>F. Cavallo</td>
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</tbody>
</table>

* Research faculty

15 Fellows
(OA, APS, IEEE, SPIE)
Graduate Student Data

- Ph.D. degrees awarded in the past 10 years: 70
- Current Graduate Student Enrollment: ≈ 64
  
  New, incoming students in 2016: 10

- New TAs/GAs = 8  (Current total ≈ 14)

- Total RAs: ≈ 35  (P&A, CHTM and ECE combined)
## M.S. PROGRAM

### Requirements (Credit Hours):

<table>
<thead>
<tr>
<th>Plan</th>
<th>Courses (Total)</th>
<th>Courses A</th>
<th>Courses B</th>
<th>Thesis</th>
<th>Res.Seminar/Problems</th>
<th>Free Elect.</th>
<th>Internship</th>
</tr>
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<tbody>
<tr>
<td>Plan 1 (Thesis)</td>
<td>30</td>
<td>15</td>
<td>6</td>
<td>6</td>
<td>-</td>
<td>3</td>
<td>-</td>
</tr>
<tr>
<td>Plan 2a (Course)</td>
<td>33</td>
<td>15</td>
<td>9</td>
<td>-</td>
<td>3 (2 in optics)</td>
<td>6</td>
<td>-</td>
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<tr>
<td>Plan 2b (Internship)</td>
<td>33</td>
<td>15</td>
<td>9</td>
<td>-</td>
<td>-</td>
<td>6</td>
<td>3</td>
</tr>
</tbody>
</table>

- 12 hours of coursework must be taken at 500 level or higher
- Students in Plan 1 must submit and defend a thesis
- Students in Plan 2a must pass an oral exam, 2b has technical report and public presentation
M.S. Program

**Mandatory**

- Advanced Optics I (Physics 463 or ECE 464)
- Optics Lab (Physics 476L or 477L)
- E&M (Physics 511 or ECE 561)
List B (Option-Based):

- Introduction to Optoelectronics (ECE 475)
- Quantum Mechanics I (Physics 521)
- Microelectronics Processing Lab (ECE 574L)
- Nonlinear Optics (Physics 555 or ECE 568)
- Solid State Physics (Physics 529) or Semiconductor Properties (ECE 572)
- Topics in Modern Optics (Physics 569) or Special Topics (ECE 595)
- Laser Physics II (Physics 564)
- Semiconductor Lasers and LEDs (ECE 577)
- Quantum Optics (Physics 566)
- Atomic and Molecular Structure (Physics 531)
- Optical Coherence Theory (Physics 556)
- Guided Wave Optics (ECE 564)
- Optical Fiber Communication (ECE 565)
- Synthesis of Nanostructures (ECE 518 or NSMS 518)
- ECE 595 - Microwave Photonics
- + more
M.S. Program

Three Concentrations:

**Common Core Courses to all Concentrations:**
Advanced Optics I (PHYC 463 or ECE 463)
Experimental Techniques of Optics (PHYC 476L OR 477L)
Electrodynamics (PHYC 511 or the ECE 555/ECE 561 sequence)
Three credit hours of seminar, including one Optics seminar

**Plus the following core courses depending on the concentration:**

**-Optical Science Concentration**
Advanced Optics II (PHYC 554 or ECE 554)
Laser Physics I (PHYC 464 or ECE 464)

**-Photonics Concentration**
Semiconductor Optical Materials and Devices (ECE 570)
Semiconductor Physics (ECE 471/ECE 572 sequence)

**-Imaging Science Concentration**
Probability Theory and Stochastic Processes (ECE 541)
Digital Image Processing (ECE 533)
Ph.D. Program

Four Concentrations:

i. Optical Sciences & Engineering
ii. Photonics
iii. Imaging Science
iv. Quantum Optics

Mandatory List A (Common to tracks i, ii, iii)

• Advanced Optics I (Physics 463 or ECE 464)
• Optics Lab (Physics 476L or 477L)
• E&M (Physics 511 or ECE 561)
Ph.D. Program
Optical Science and Engineering Concentration

- Advanced Optics I (PHYC 463 or ECE 463)
- Electrodynamics (PHYC 511 or the ECE 555/ECE 561 sequence)
- Optics Lab (PHYC 476L or 477L)
- Advanced Optics II (PHYC 554 or ECE 554)
- Laser Physics I (PHYC 464 or ECE 464)

- Methods in Theoretical Physics I (PHYC 466 or Math 466) or ECE 555
- Quantum Mechanics I (PHYC 521) or Semiconductor Physics (the ECE 471/ECE 572 sequence)
- Nonlinear Optics (PHYC 568 or ECE 568)

3 credit hours of seminar, including one Optics seminar
Ph.D. Program
Photonics Concentration*

- Advanced Optics I (PHYC 463 or ECE 463)
- Experimental Techniques of Optics (PHYC 476L or 477L)
- Electrodynamics (PHYC 511 or the ECE 555/ECE 561 sequence)

- Semiconductor Optical Materials and Devices (ECE 570)
- Semiconductor Physics (the ECE471/ECE 572 sequence)
- 3 credit hours of seminar, including one Optics seminar

*Under revision
Ph.D. Program
Imaging Science Concentration

- Advanced Optics I (PHYC 463 or ECE 463)
- Experimental Techniques of Optics (PHYC 476L or 477L)
- Electrodynamics (PHYC 511 or the ECE 555/ECE 561 sequence)
- Probability Theory and Stochastic Processes (ECE 541)
- Digital Image Processing (ECE 533)
- 3 credit hours of seminar, including one Optics seminar
Ph.D. Program
Quantum Optics Concentration

- Laser Physics I - PHYC 464
- Quantum Mechanics I – PHYC 521
- Quantum Mechanics II – PHYC 522
- Electrodynamics – PHYC 511 or ECE 561
- Quantum Optics I – PHYC 566
- Three credit hours of seminar, including one Optics seminar
Ph.D. Program

Quantum Optics Concentration

B. Option based
Choice of three from the following list
- Nonlinear Optics – PHYC 568
- Optics I – PHYC. 463
- Optics II – PHYC. 554
- Optics Lab - 466L/467L
- Quantum Optics II – PHYC. 581
- Quantum Information Theory – PHYC. 572
- Quantum Computation – PHYC. 571
- Semiconductor Physics (the ECE 471/ECE 572 sequence)
- Semiconductor Materials and Optical Devices – ECE 570
- Materials and Devices II – ECE 471

Depending on the student, the remaining 22 course work credit hours can be satisfied with a combination of courses (500 level or above) including problems courses and research hours.
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<tr>
<td>☐ Materials and Devices II – ECE 471</td>
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</table>
OSE Seminar Series, PHYC 500

• The OSE Seminar Series course (PHYC 500, CRN 46023 Section 018).
• Features a series of talks focused on the latest research in the field of Optical Science and Engineering.
• Speakers include world-wide experts in the field of optics from academia, industry and national labs, as well as OSE faculty and students.
• Class will meet every Thursday from 11:00 AM to 12:00 PM at the CHTM (Room 101) or Physics and Astronomy (Room 190). Check the weekly announcement.
• Registered students will receive 1.0 credit hours for a successful completion of the course.
• All new incoming students are required to sign up for the course.
• All continuing OSE students from all tracks are highly encouraged to take the course.
Suggested First Year Course Schedule – Optical Science

Optical Science Concentration

Fall 2016:
• Advanced Optics I (PHYC 463 or ECE 463)
• Methods in Theoretical Physics I (PHYC 466 or Math 466) or ECE 555
• Laser Physics I (PHYC 464 or ECE 464)
• OSE Seminar Series (PHYC 500)

Spring 2017:
• Advanced Optics II (PHYC 554 or ECE 554)
• Experimental Techniques of Optics (PHYC 476L or PHYC 477L)
• Electrodynamics (PHYC 511 or the ECE 555/ECE 561 sequence)
• OSE Seminar Series (PHYC 500)
Suggested First Year Course Schedule – Photonics

• Photonics Concentration

• Fall 2016:
  • Advanced Optics I (PHYC 463 or ECE 463)
  • Semiconductor Physics (the ECE471/ECE 572 sequence)
  • Methods in Theoretical Physics I (PHYC 466 or Math 466) or ECE 555
  • OSE Seminar Series (PHYC 500)

• Spring 2017:
  • Semiconductor Physics (the ECE471/ECE 572 sequence)
  • Experimental Techniques of Optics (PHYC 476L OR 477L)
  • Electrodynamics (PHYC 511 or the ECE 555/ECE 561 sequence)
  • OSE Seminar Series (PHYC 500)
Suggested First Year Course Schedule – Imaging Science

Imaging Science Concentration

• Fall 2016:
  • Advanced Optics I (PHYC 463 or ECE 463)
  • Methods in Theoretical Physics I (PHYC 466 or Math 466) or ECE 555
  • Probability Theory and Stochastic Processes (ECE 541)
  • OSE Seminar Series (PHYC 500)

• Spring 2017:
  • Digital Image Processing (ECE 533)
  • Experimental Techniques of Optics (PHYC 476L OR 477L)
  • Electrodynamics (PHYC 511 or the ECE 555/ECE 561 sequence)
    OSE Seminar Series (PHYC 500)
Suggested First Year Course Schedule – Quantum Optics

Quantum Optics Concentration

Fall 2016:
Lasers I (PHYC 464 or ECE 464)
Methods in Theoretical Physics I (PHYC 466 or Math 466) or ECE 555
Graduate Quantum Mechanics I (PHYC 521)
OSE Seminar Series (PHYC 500)

Spring 2017:
Graduate Quantum Mechanics II (PHYC 522)
Experimental Techniques of Optics (PHYC 476L OR 477L)
Electrodynamics (PHYC 511)
OSE Seminar Series (PHYC 500)
TIME TO M.S. DEGREE

The Faster the better!

- All requirements for the master’s degrees must be completed within *seven years* prior to granting the degree.

- No course work applied to the degree requirements, including transfer work, may be more than seven years old at the time a master’s degree is conferred.

*Common case: 1-2 years full time*
Doctoral students have a five-year time limit for completion of degree requirements commencing with the semester in which they pass the Doctoral Comprehensive Examination.

Common case: 3-5 years full time

The Faster the better!
PhD Qualifying Exam

• Four exams to be passed consist of two (2) common exams (common to all tracks) and two (2) track-specific exams (for each track).

• All exams are offered in August; some track-specific exams may also be offered in January.

The common exams are in

• Electromagnetics (3 hours) - largely based on material covered in PHYC511 or ECE561
• General Optics (3 hours) - largely based on material covered in PHYC/ECE463 and PHYC476L.

• Must attempt the Written Qualifying Examinations after your first complete academic year;

• Must pass all four examinations before beginning their third academic year;

• Each exam may be attempted at most twice. The lowest passing score is 60%.
The track-specific (concentration) exams are in:

**Optical Sciences**
- Advanced Optics
- Lasers

**Photonics**
- Semiconductor Optical Materials & Devices
- Semiconductor Physics

**Imaging Science**
- Stochastic Processes
- Digital Image Processing

**Quantum Optics**
- Lasers
- Quantum Mechanics (Physics Preliminary Exam)

- The content of these exams is largely based on the corresponding graduate courses.
- Each track exam is 1.5 hours.
The exam is waived for students who earn an average GPA of 4.0 or greater for their OSE core courses:


**Photonics**: Adv. Optics I, Electromagnetics, ECE 570, and ECE 572

**Imaging Science**: Adv. Optics I, Electromagnetics, ECE 533, and ECE 541

**Quantum Optics**: Electromagnetics, Laser Physics I, Quantum Mechanics I, & II

- Part-time students are not subject to the timetables above.
- The qualifying exam may satisfy the exit examination requirement for MS Plan II students.
SEMESTER COURSE LOADS

- **Full-time student:**
  - Enrolling for and completing a minimum of 9 graduate credit hours per semester is considered to be a full-time student.
  - If holding an assistantship, the minimum course load is 6 graduate credit hours per semester.

- **International students**
  - Can no longer obtain a “W”, “WP”, “WF” at any time during the semester, if they fall below the 6 required courses for RAs or GAs or below 9 hours for students not holding an assistantship.
A student seeking a MS degree should prepare and submit a Program of Studies (POS)

- Indicating the courses that will be counted toward the degree
- Finally decide to choose Plan I or II
- Time to get all transfer credits approved

POS should be approved by

- Your advisor
- General Chair or Co-Chair of the OSE Graduate Program
- Office of Graduate Studies (OGS)

and sent to OGS by ECE Graduate Office

This form is available online

http://www.unm.edu/grad/forms/forms.html
MS: THESIS/PROJECT COMMITTEE

- Consisting of at least three members
  - Chair must be a regular faculty member from OSE
  - At least 2 must be regular faculty from UNM
  - External member: a regular faculty member whose primary appointment is outside of the student’s home department.
  - All committee members (both internal and external to UNM) must be approved for graduate instruction

PS. A regular faculty is a faculty who has a tenured or tenure-track appointment
A student seeking a Ph.D. must prepare and submit an Application for Candidacy form indicating the courses that will be counted toward the degree. To be done during the semester in which the comprehensive examination is passed. Time to get all transfer credits approved.

Application for Candidacy should be approved by:
- Your advisor
- General Chair of Co-Chair of the OSE Graduate Program
- OGS

and sent to OGS by OSE Program Advisor.

This form is available online:
http://www.unm.edu/grad/forms/forms.html
PHD: DISSERTATION/COMPREHENSIVE EXAM COMMITTEE

Consisting of at least four members

- **Chair** must be *a regular faculty member from OSE*
- **At least 2** must be *regular faculty from UNM*
- **External member**: a regular faculty member whose primary appointment is *outside of the student’s home department.*
- **All committee members** (both internal and external to UNM) must be *approved for graduate instruction*

**PS.** A regular faculty is a faculty who has a tenured or tenure-track appointment
REQUIRED ENROLLMENT

- A student must be enrolled for at least 1 graduate credit for the semester (including the summer session) in which they complete degree requirements.

- In order to qualify to sit for a master’s exam during the intersession, the student must be registered for the following semester.
599/699 GRADES & ENROLLMENT

- **Grades**
  - ✓ Semester grades for 599/699 hours should be **PR (progress)**
  - ✓ At the time of graduation the student’s transcript will indicate that he/she earned
    - ✓ M.S.: 6 hours of thesis (599) credit (CR)
    - ✓ PhD.: 18 hours of dissertation (699) credit (CR)

- **Enrollment**
  - ✓ Once initiated, **continuous enrollment** (Fall and Spring semesters) in 599/699 is required until the thesis/dissertation is accepted by the Dean of Graduate Studies.
  - ✓ Students who complete degree requirements during a summer session must be enrolled in a minimum of one 599/699 credit hour.
Pass/Fail (CR/NC) Option For Graduate Students:

No more than 6 credit hours of course work in which a grade of C (2.0), C+ (2.33) or CR (grading option selected by student) was earned may be credited toward a graduate degree. Courses offered only on a CR/NC basis and required by the graduate program are excluded from this limitation.

A graduate student has the option of enrolling in courses on a Pass/Fail (CR/NC) basis. However, if a graduate student with undergraduate deficiencies is required by the major department to take a lower-division course, the pass/fail (CR/NC) option is not available to the student.

Source: UNM Catalog
50% Instructor Rule

No more than 50% of the total degree credits can be taken with one instructor and counted toward degree credits.

e.g. 52 credits are needed for PhD. A Student may count only 26 credits with the same professor toward the PhD degree.
NOTICE OF INTENT TO GRADUATE

A Student must inform the OSE Program Advisor in writing of their Intent to Graduate no later than 11:00 a.m. on the last day of the semester immediately preceding the semester of graduation.

- If a student does not complete all degree requirements for graduation in a particular semester, the student must submit a new Intent to Graduate form for graduation in a subsequent semester.
## POS/A4C AND INTENTION TO GRADUATE

### Deadlines

<table>
<thead>
<tr>
<th>Step</th>
<th>Obtain degree at the end of semester</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Spring</td>
</tr>
<tr>
<td>1. Submission of POS or A4C</td>
<td>Oct 1</td>
</tr>
<tr>
<td>2. Signup for graduate intention</td>
<td>Last day of Fall</td>
</tr>
<tr>
<td>3. Announce the examination</td>
<td>Apr 1</td>
</tr>
</tbody>
</table>

**Plan ahead & graduate in time!**

**The earlier the better!**
GPA FOR GRADUATION

- A Student must have
  - a minimum cumulative GPA of 3.0 in *graduate-level courses* taken in graduate status at the time of degree completion
  - a minimum GPA of 3.0 for *courses listed in their Program of Studies or Application for Candidacy*.
  - no more than 6 credit hours of course work in which a grade of C (2.0), C+ (2.33) or CR (grading option selected by student) was earned may be credited toward a graduate degree (excluding seminar courses)

- Students may not graduate with *Incompletes* pending in any graduate course.
TRANSFER CREDIT & APPLIED CREDIT

- **What can be transferred:**
  - The course work, graded at least a B, was taken at an accredited institution and is approved by both OSE grad committee and OGS;
  - Course work that has been counted toward a previous degree may not be counted again toward any other degree except Master’s course work for a doctoral degree.

- **When to transfer:**
  - Listing them on the Program of Studies or the Application for Candidacy
  - Transfer petitions for OSE Core Coursework **Must** be submitted to the OSE Committee during the first two years of studies at UNM.

- **Applied credit:**
  - At most 9 credits are acceptable for transfer from non-degree to degree status

- **A maximum of 50% of the course work requirements may consist of a combination of applied/transfer credits**
ACADEMIC INTEGRITY

You are expected to maintain the highest standards of honesty and integrity in academic and professional matters.

- The University reserves the right to take disciplinary action, up to and including dismissal, against any student who is found guilty of academic dishonesty or otherwise fails to meet these standards.

- Academic dishonesty includes, but is not limited to,
  - dishonesty on quizzes, tests or assignments;
  - claiming credit for work not done or done by others;
  - hindering the academic work of other students; and
  - misrepresenting academic or professional qualifications within or outside the University.

See UNM Catalog
http://www.unm.edu/~unmreg/catalog.htm